

Advisor Search Worksheet

Priority

Below is a list of priorities that might be important for an investor when considering a new advisor.

Value

For each Priority, choose a rating for how critical it is to you that an advisor meets your preferences for that category.

0 = Not at all important

1 = Somewhat important

2 = Very Important

Me

For each of the priorities below, mark in the “Me” column any preferences you have or relevant notes about your financial situation. For any priorities that you don’t know, just leave them blank, you can always add them in later.

There is also a separate worksheet with more room for reflecting on your personal preferences.

Advisor 1 & 2

Put the names of advisors you are considering in the advisor columns. Then note for each advisor how well they meet each priority, as well as any other relevant notes. This will allow you to quickly compare your own needs across a few advisors.

The Worksheet includes 2 extra sheets with blanks to add additional priorities.

Financial Goals/Preferences

Priority

Financial Goals/Need

Any goals and/or needs you have for your finances

Asset Classes & Size

Types of capital you need to be managed (stocks, bonds, private investments, cash) and size of investable assets

Investment Philosophy

Any beliefs/principles that guide your investment decision-making process

Services Beyond Financial Management

Any additional services needed, eg. estate planning, philanthropy, etc

Other

Other Financial Goals/Preferences

Me

Value

0 = Not important
1 = Somewhat important
2 = Very Important

Advisor 1 Notes

Name:

Advisor 2 Notes

Name:

Impact Goals/Preferences

Priority

Impact Themes/Topics

Areas you care about making an impact, whether broad like Racial Justice or specific like Firearms

Additional Impact Strategies

Work beyond capital strategies an advisor can use for social impact (eg. Shareholder Advocacy, Volunteerism)

Other

Other Impact Goals/Preferences

Me

Value

0 = Not important
1 = Somewhat important
2 = Very Important

Advisor 1 Notes

Name:

Advisor 2 Notes

Name:

Advisor/Firm Characteristics

Priority

Advisor Fees/Cost

How you will be charged and how much

Size of Advisor Firm

How many staff in the firm

Communication/ Reporting Style

How an advisor keeps clients updated

Racial and/or Gender Diversity

Diversity of the firm's staff

Geography

Any preference for Advisor's location

Industry Expertise

Any specialties the advisor has and level of experience

Personal Fit

Personal feeling of compatibility with advisor, and any characteristics preferred for advisor relationship

Me

Value

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1 = Somewhat important
2 = Very Important

Advisor 1 Notes

Name:

Advisor 2 Notes

Name:

Advisor Search Preferences

Category

Me

Value

0 = Not important
1 = Somewhat important
2 = Very Important

Advisor 1 Notes

Name:

Advisor 2 Notes

Name: